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DUE DILIGENCE SERVICE

The Compliance Exchange offers a due diligence research product to save customers time and expense when considering the history, identity and credentials of potential business relationships.

We offer two services:

- 1 No contact with prospect
- 2 Contact with prospect

No contact with Prospect

For this offering we will do research using the tools available to us online to check for regulatory authorizations and registrations of entities, regulatory histories of key individuals within the entity including disciplinary records, lifestyle indicators and exchange and company registrations where these are discoverable. We go beyond checking an entity's website and use information gathered from there and other sources in order to put together an objective insight into an entity and its relationships with third parties. We look for negative events, relations and adverse publicity sources as well as positive indicators.

We will produce a clearly worded report containing observations and conclusions based on our research, complete with copies of relevant documents to help you build a picture of the reputation and respectability of your potential business relationship.

Contact with prospect

For this service we will do all of the above. With permission from you and your prospect we will also make contact with the subject to gather further information that may not be discoverable in the public domain. This will typically involve following guidance as to identity verification and ownership structures of entities in compliance with internationally recognized standards, such as the detailed guidance notes issued by the Joint Money Laundering Steering Group, the Financial Action Task Force and financial intelligence units worldwide and sanctions.

We will seek independently certified copies of passports, addresses and ownership interests of controlling individuals, directors and referees. For entities we will seek corporate or equivalent documents such as memoranda of incorporation, articles, change of name, board minutes, accounts and signatory authorization confirmations. We will tailor our report to assist you to meet your regulatory obligations and evidence your prudent due diligence.

Further information on our range of offerings can be found in the "Our Services" tab of our website:

www.compliance-exchange.com.

Contact Jonathan Halsey, director, at our Mayfair office as shown above or by email on info@compliance-exchange.com.